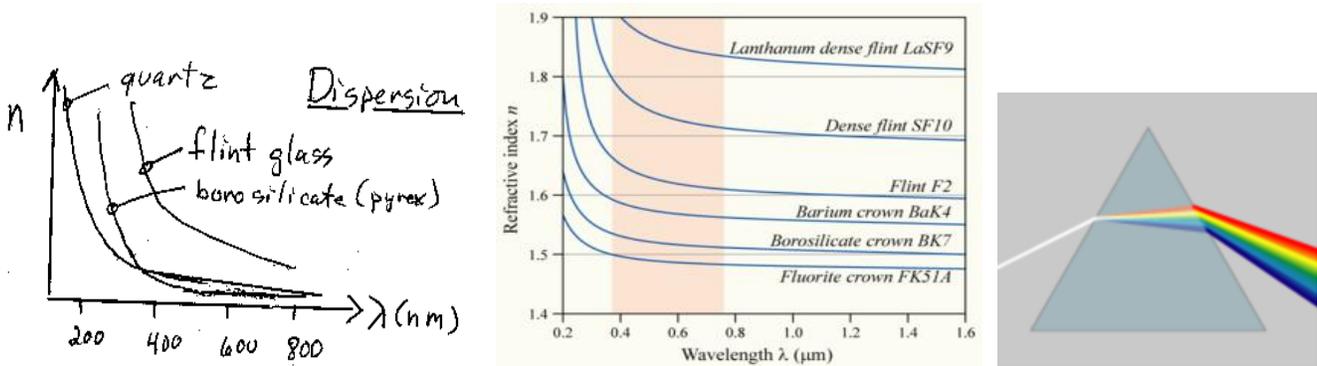


Chem 524-- Outline (Sect. 6) – 2013

IV. Wavelength discriminators (Read text Ch. 3.5)

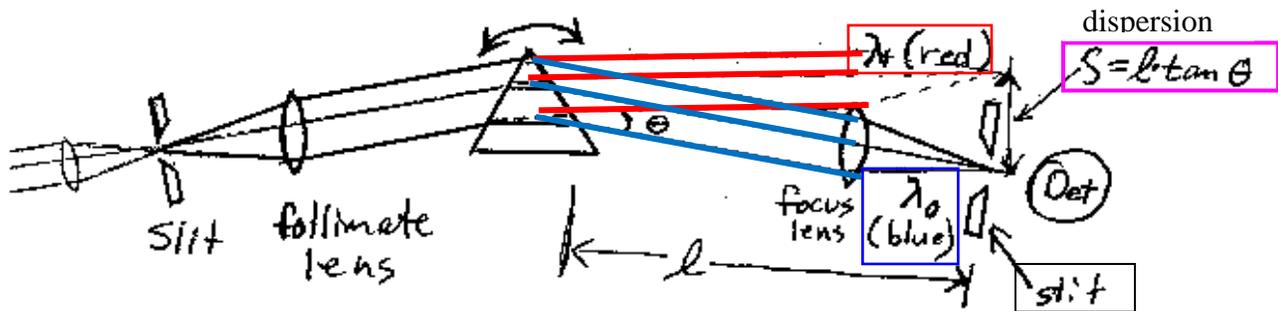
A. Monochromators work by dispersing wavelength, λ , in space

1. **Prism** - dispersion of wavelengths due to refractive index, n , dispersion, $dn/d\lambda$



- material dependent--all index, n , values increase as λ go to uv, with different penetration of uv, n correlate to A , at absorbance band, index disp. has **anomaly** (derivative shape, complex n)
- very non-linear — fast change in uv, slow in IR (poor λ separation) - not a simple function of λ
- monochromator — collimate beam in, parallel at prism, focus refracted output (f is focal length) onto dispersed detector (film or CCD \rightarrow spectrograph)

Prism Monochromator



- or rotate prism to focus different λ on slit, whose width ΔS gives $\Delta \lambda$ or resolution--bandpass
- angular dispersion: $d\theta/d\lambda \rightarrow$ linear separation/dispersion: $S = l \cdot \tan \theta$

USES

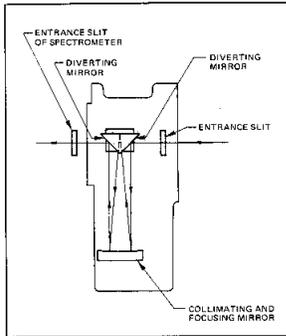
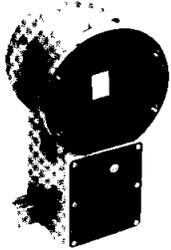
-- predisperser -- Prism has no orders, non-linear dispersion, restricts grating range (Cary 14)

McPHERSON Model 608 Prism Predisperser

Fits Monochromator Models:

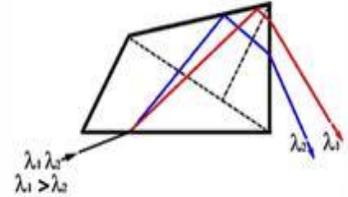
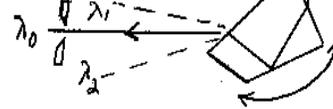
218/3m	207/66m
2051/1 meter	2061/1m
209/1.33m	285/5m
	.5m Double

to be coupled to a grating monochromator and act as a filter (order sort)



Pellin-Brocca prism

Change direction
select λ
maintain 90°



--Laser turn/sort-- Pellin-Brocca low loss, rotate - freq. select λ with **no beam angle change**

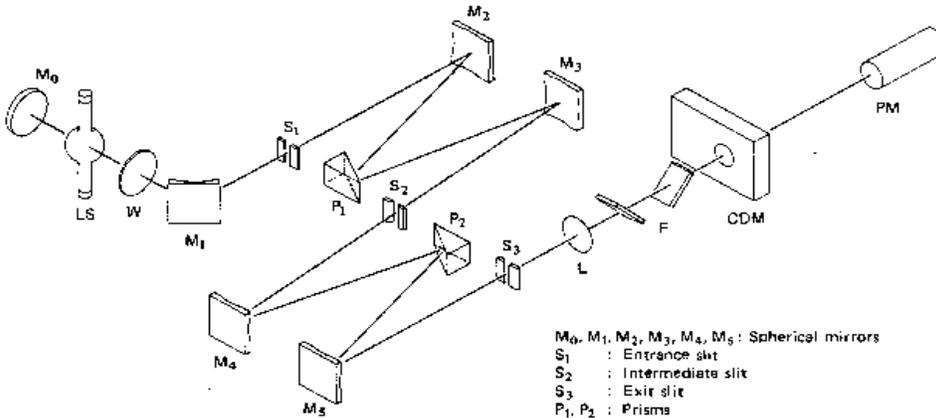
ex. Output Raman shifter, laser line sort / cleanup in Raman

--for uv (higher throughput/good dispersion--e.g. CD spectrometer)

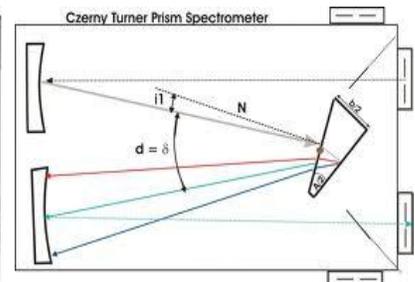
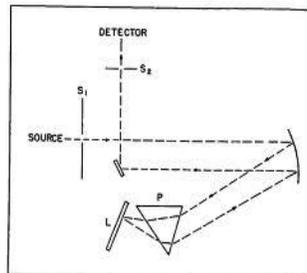
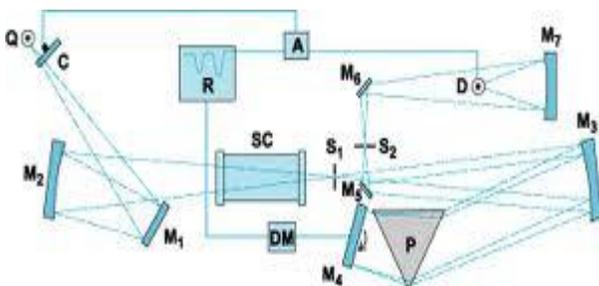
OPTICAL DIAGRAM

JASCO CD Spectrometer

Prisms give high throughput in uv
also act as polarizers



- M₀, M₁, M₂, M₃, M₄, M₅: Spherical mirrors
- S₁: Entrance slit
- S₂: Intermediate slit
- S₃: Exit slit
- P₁, P₂: Prisms
- LS: Light source
- W: Window
- L: Lens
- F: Filters
- CDM: CD modulator
- PM: Photomultiplier detector



2. Grating transmission or reflection—
diffraction cause interference for different λ
 at different angles:

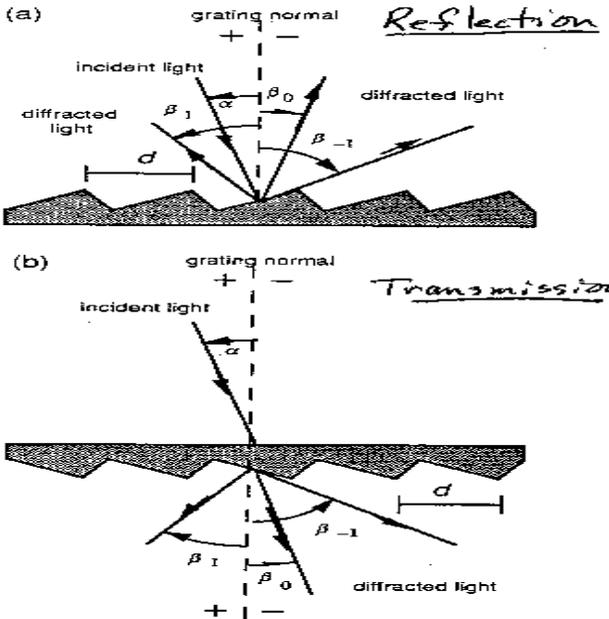
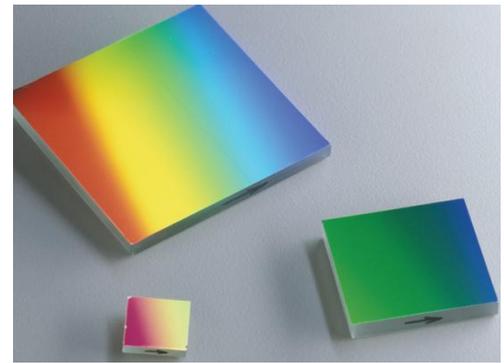


Figure 11-1. Diffraction by a plane grating. A beam of monochromatic light of wavelength λ is incident in a grating and diffracted along several discrete paths. The triangular grooves come out of the page; the rays lie in the plane of the page. The sign convention for the angles α and β is shown by the + and - signs on either side of the grating normal. (a) A reflection grating: the incident and diffracted rays lie on the same side of the grating. (b) A transmission grating: the incident and diffracted rays lie on opposite sides of the grating.

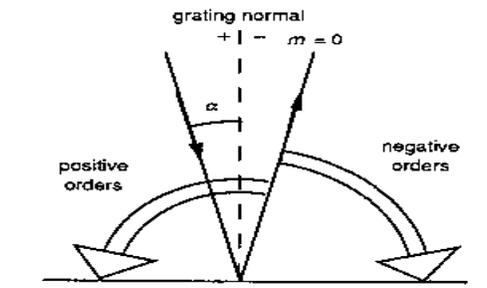


Figure 11-4. Sign convention for the spectral order m . In this example α is positive.

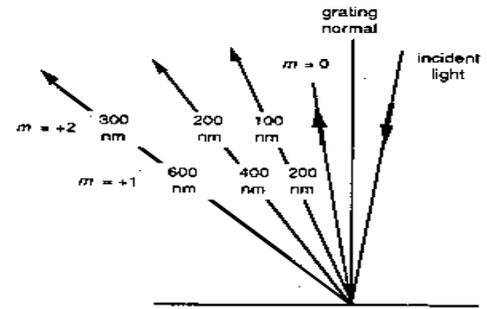


Figure 11-5 - Overlapping of spectral orders. The light for wavelengths 100, 200 and 300 nm in the second order is diffracted in the same direction as the light for wavelengths 200, 400 and 600 nm in the first order. In this diagram, the light is incident from the right, so $\alpha < 0$.

- $d (\sin \alpha + \sin \beta) = m\lambda$, $m = 0, \pm 1, \pm 2, \dots$ ($m = \text{order}$) —this is critical equation

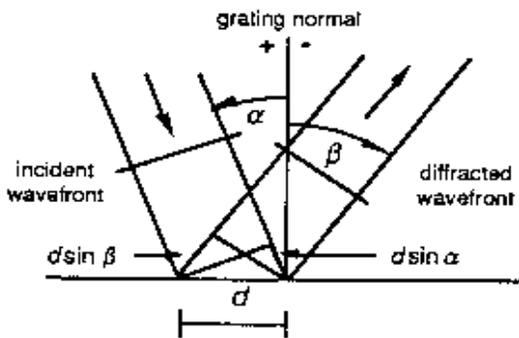
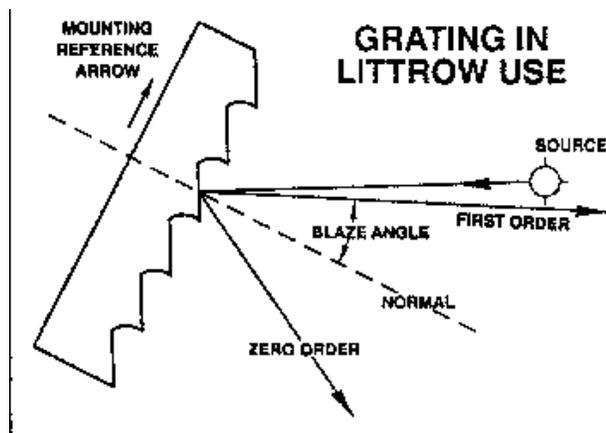
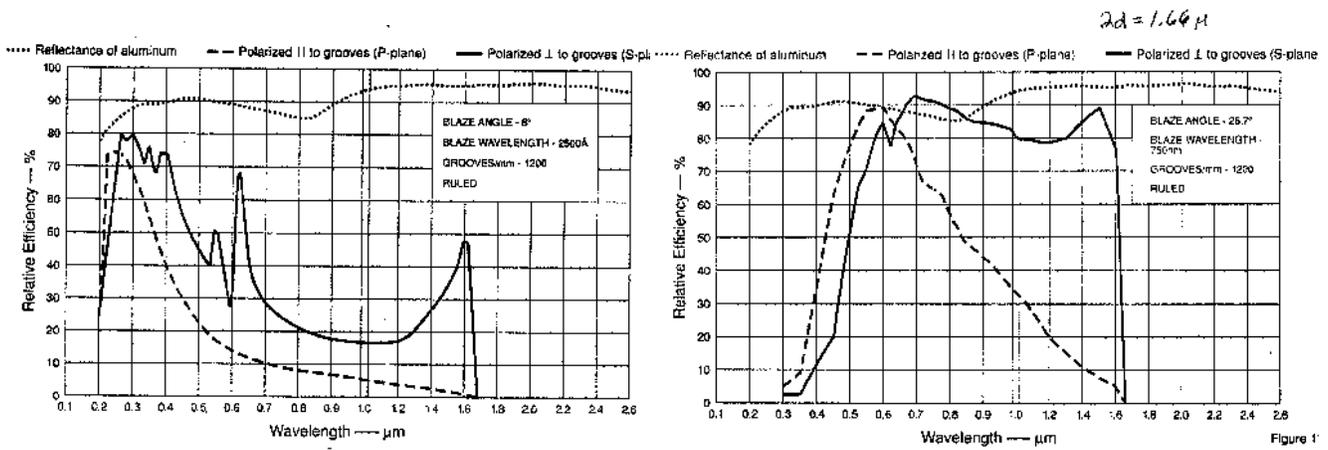


Figure 11-2. Geometry of diffraction for planar wavefronts. The terms in the path difference, $d \sin \alpha$ and $d \sin \beta$, are shown.



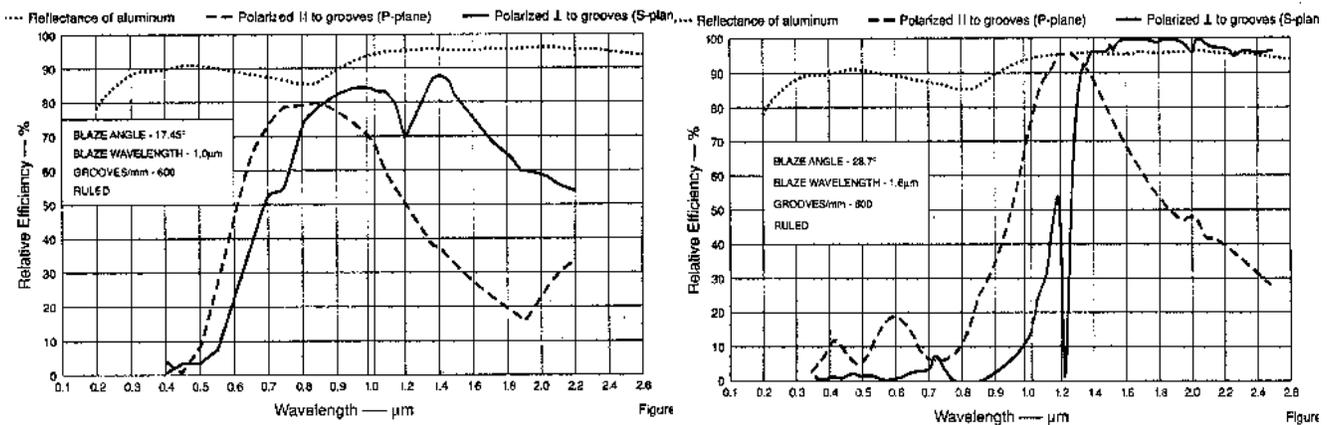
- Note β is negative so difference in path is $d(\sin |\alpha| - \sin |\beta|)$ which creates interference/diffraction
- In Littrow, incident & diffracted light same direction, blaze make mirror-like for one angle/wavelength

- orders need to be sorted out, reason for prism *predisperser or filter* system:
 - $m > 0$ (positive order) $\beta > -\alpha$, $m < 0$ (neg) $\beta < -\alpha$ and $\beta = -\alpha, m = 0$
 - - free spectral range: $\Delta\lambda = \lambda / (m + 1)$ - at given diffraction angle, get λ , and $\Delta\lambda$ extent of wavelength range before higher order interfere with a lower order
 - zero order (top fig) $\rightarrow \alpha = -\beta$ i.e. no solution or all wavelengths \rightarrow *grating acts like mirror*
 - Blaze — maximum λ efficiency: $\lambda_B = d \sin\beta$ (defined for $\alpha = 0$, Littrow condition)
- useful range* ($2/3 \lambda_B \rightarrow 3/2 \lambda_B$), from shaping groove as a triangle so wide faces mirror-like
- cut-off $\lambda > 2d$ no diffraction (eg. 1200 gr/mm $\rightarrow d = 833$ nm) — (can't get path diff. of $n\lambda$)



--Compare blazes --250 nm (8°) – left, and 750 nm (25.7°) – right

--also polarized, \perp to grooves \rightarrow more intensity to red of maximum, \parallel \rightarrow more intensity to blue,



anomalies occur as function of λ blaze, result in extreme polarization

– sharp changes in diffraction efficiency – scanning λ intensity variation, can look like peak

Dispersion: $D_a = d\beta/d\lambda = |m|/d \cos \beta = (\sin \alpha + \sin \beta)/\lambda \cos \beta$

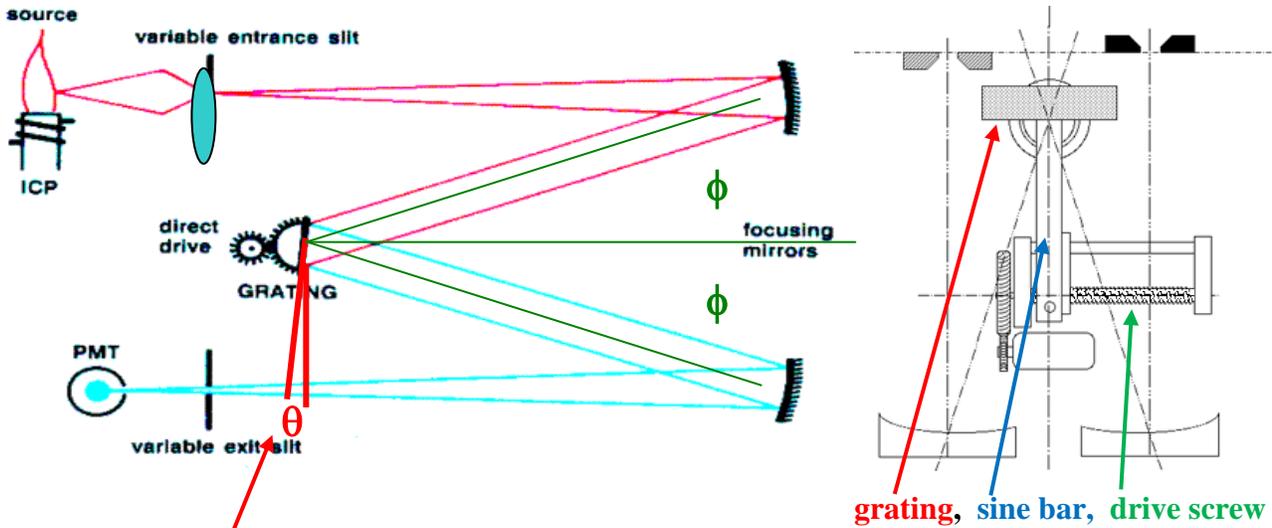
-- closer spaced grooves (small d) — more angular dispersion (depend on grating)

-- higher order, larger $|m|$, more dispersion, (large diffraction angles \rightarrow denom: $\cos \beta$)

-- linear dispersion: $D_l = f D_a = dx/d\lambda = f |m|/d \cos \beta$ f - focal length (instr. effect)

Common model system:-- (Czerny Turner, ϕ -fixed, $\lambda \sim \sin \theta$): often has Sine bar drive

$d (\sin \alpha + \sin \beta) = 2d (\sin \theta \cos \phi) = m\lambda$

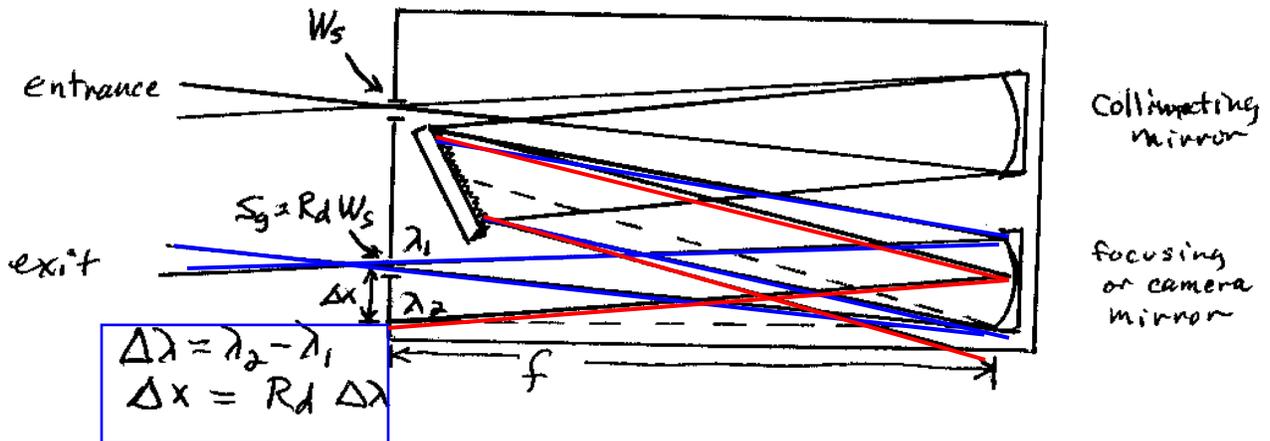


i.e.: $\lambda \sim \sin \theta$ --normal design, turn screw move nut (linear motion, \perp grating plane)

this is coupled to arm that rotates (θ) grating, arm will be variable length (hypotenuse)

so linear motion creates $\sin \theta$ and is proportional to λ

--practical: reciprocal linear dispersion: $R_d = D_l^{-1} = d\lambda/dx = (f d\beta/d\lambda)^{-1}$

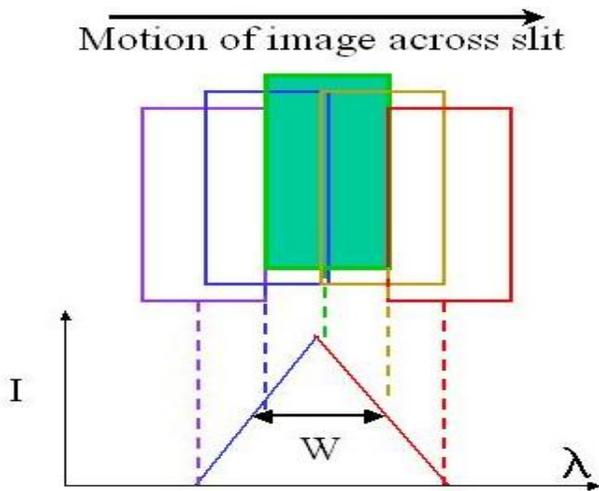


Refocused λ values separate in space by linear dispersion, depends on focal length, f

--spectral band pass $s_g = R_d W$ -- W = slit width

-- move image of entrance slit across the exit slit (slide rectangles over each other) result will be a triangle representing the amount of open area vs. the distance moved, i.e.

--triangular slit function: $\Delta\lambda = s_g = R_d W$, full width at half height



(text good diagram: fig 3-48)

--normal conditions, get instrument limited triangle shape for spectral line narrow compared to S (e.g. atomic line); for molecule, get broad bandshape

- ideal resolution, separate line (bands) to baseline, $\Delta\lambda = 2s_g = 2R_d W$
- realistic, separate bands to distinguish (sense separate contribution, 2 overlap peaks)
- common statement of resolution, **FWHH $\sim s_g$**
- very high resolution, can get *Rayleigh dispersion*: $\Delta\lambda_D = \lambda/D_a W_D$, $W_D = W_G \cos \beta$

--Resolving power (theoretical): $R_{th} = \lambda/\Delta\lambda = W_G |m|/d = |m| N$

-- Depends on order, m , and # of grooves, N

– consider, more grooves \rightarrow more interfering wave differences, so more selection between wavelengths to be in phase

- Throughput — aperture diameter: $D_p = [4A_p/\pi]^{1/2}$ where $A_p = A_G \cos \theta$
 1. $F/n = f/D_p$ solid angle $\Omega = A_p/f = (\pi/4) (F/n)^{-2}$
 2. *Limiting aperture* normally is the **grating**—*most expensive component*
 - effective size reduced by angle θ , since as turns have less cross section to beam

• *Broadband output*: $B_x W^2 H \Omega T_{op} R_d$ -- varies like W^2 or $\Phi = B T_{op} R_d \Omega H W^2$

• - so increased resolution (smaller W) costs light throughput

• *Stray radiation* an important consideration in design (multiple mono better, but sharply reduce throughput) hard to quantify, usually given as $\Phi_{SR}/\Phi_{\lambda_0}$

- Solving problems:

- A. if Littrow mount, then $\alpha = -\beta$, β comes back on top of α , but the grating is turned, reference to normal, so values are not zero ($\sin(-\beta) = -\sin\beta$) so: $m\lambda = 2d \sin \beta$
- B. If Czerny Turner, then convert from α, β to ϕ, θ and use: $2d (\sin\theta \cos\phi) = m\lambda$
- C. Resolution questions will use: $D_l = f D_a = f |m|/d \cos \beta$ or $R_d = D_l^{-1}$
- D. spectral width / bandpass also will show up as: $S_g = R_d W$

Examples — monochromator problems to learn to solve

I imagine an 1800 g/mm grating
 $d = 0.555 \mu\text{m} = 0.555 \times 10^{-6} \text{m}$
 assume $\alpha = 0$, $m = 1$ (1st order)
 $m\lambda = d \sin \beta$
 for 500 nm (green-blue)
 $\sin \beta = \frac{500 \text{ nm}}{555 \text{ nm}} = 0.9$
 $\beta = 64.2^\circ$

$$D_a = \frac{d\beta}{d\lambda} = \frac{|m|}{d \cos \beta} = \frac{1}{555 \text{ nm} \cdot 0.434} = 0.00413 \text{ nm}^{-1}$$

Solve for a $f = 0.5 \text{ m}$ focal length Czerny-Turner

$$D_e = f D_a = 0.00206 \text{ m/nm}^{-1} = 2.06 \text{ mm/nm}^{-1}$$

$$R_d = D_e^{-1} = \underline{0.484 \text{ nm/mm}}$$

So if you want a resolution of $0.1 \text{ nm at } 500 \text{ nm}$

$$\frac{0.1}{500} = \frac{\Delta \nu}{20000 \text{ cm}^{-1}} \Rightarrow \Delta \nu = \frac{2000}{500} = \frac{20}{5} = \underline{4 \text{ cm}^{-1}}$$

Slit ! $S = \frac{0.1 \text{ nm}}{0.484 \text{ nm/mm}}$
 $= \underline{0.206 \text{ mm}}$

Spectral Bandpass $S_g = R_d W$ $W = \text{width of slit}$
 ex: if $W = 0.1 \text{ mm}$

$$S_g = 0.484 \cdot 0.1 = \underline{0.048 \text{ nm}}$$

at 500 nm
 (20000 cm^{-1}) $\sim \underline{2 \text{ cm}^{-1}}$

Holographic grating production, interfering, parallel monochromatic laser beams requires imaging optics, stable conditions

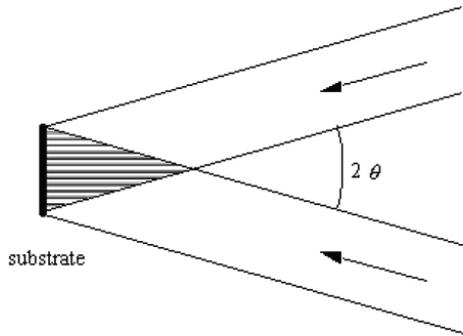


Figure 4-1. Formation of interference fringes. Two collimated beams of wavelength λ form an interference pattern composed of straight equally spaced planes of intensity maxima (shown as the

fringe separation $d = \lambda / (2 \sin \theta)$

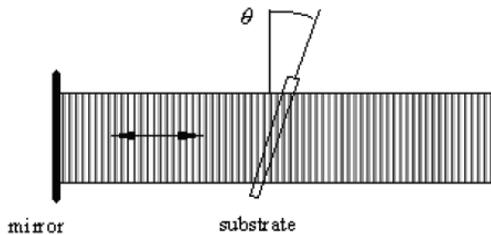


Figure 4-2. Sheridan recording method. A collimated beam of light, incident from the right, is retroreflected by a plane mirror, which forms a standing wave pattern whose intensity maxima are shown. A transparent substrate, inclined at an angle θ to the fringes, will have its surfaces exposed to a sinusoidally varying intensity pattern.

depth of groove: $h = \lambda / 2n$

λ wavelength recording light, n index of photoresist,

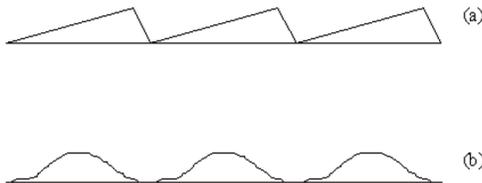


Figure 4-4. Ideal groove profiles for ruled and holographic gratings. (a) Triangular grooves, representing the profile of a mechanically ruled grating. (b) Sinusoidal grooves, representing the profile of a holographic grating.

can enhance holographic profile to blaze, and can

subsequently ion etch, at an angle to ablate just part of groove

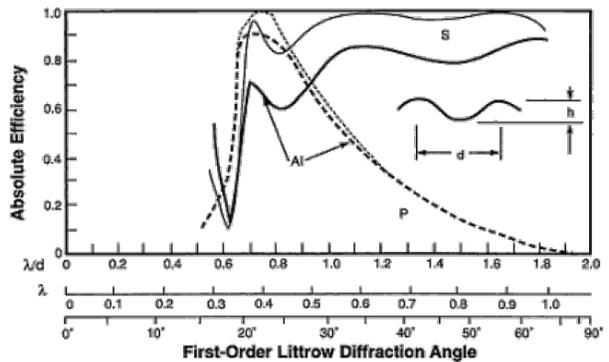
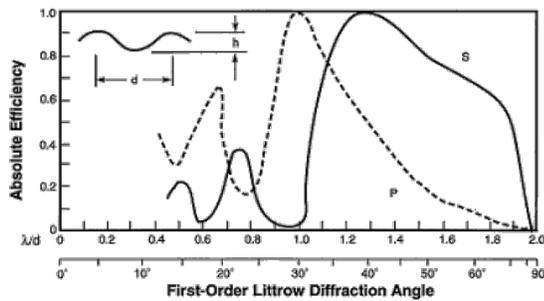


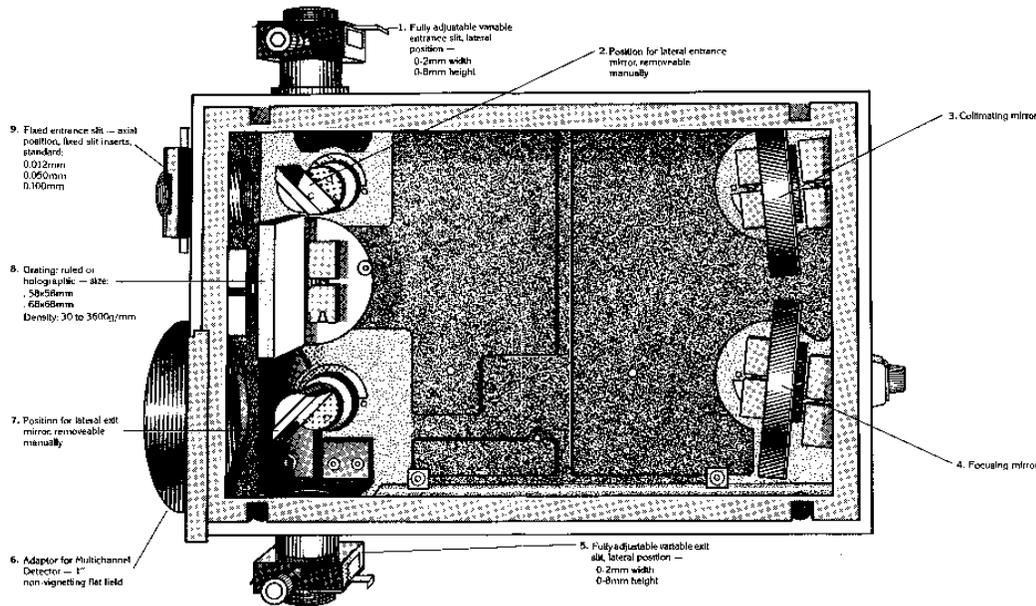
Figure 9-18. Second-order theoretical efficiency curve: sinusoidal grating, $\mu = 0.36$ and Littrow mounting. Solid curve, S-plane; dashed curve, P-plane.

“blaze” of holographic grating, more evident in second order (left)

Models/designs (not all links work, [see text for old designs](#), [see links below to instrument companies for newer ones](#)): [Czerny Turner](#), [Ebert](#), [Littrow](#), [Roland Circle](#), [Echelle](#), [Concave gratings](#), [transmission gratings](#), [multiple grating](#), [double monochromators](#) (subtractive and additive dispersion)--often used additively [for Raman spectra](#) to reduce scattered light. and increase resolution in visible

Compact Czerny-Turner, plane grating separate camera + collimating mirror (focusing)

HR-320 SPECTROGRAPH/MONOCHROMATOR



[Compact Czerny-Turner](#), plane grating, collimating mirror illuminates grating, focusing (camera) mirror puts image of entrance slit but dispersed by wavelength light at exit slit, *extra mirrors* let you choose slit, out → front or in → side ([J-Y/Horiba](#))



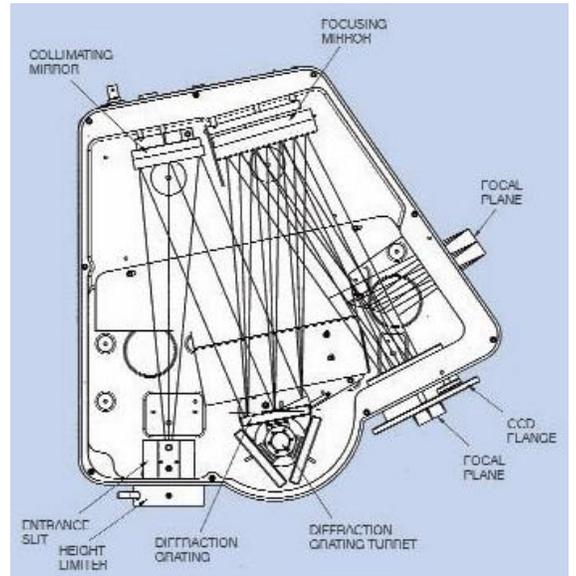
Computer controlled, interchangeable multi-grating turrets for extended spectral coverage

- Image corrected optics provide superior imaging quality for multi-track applications

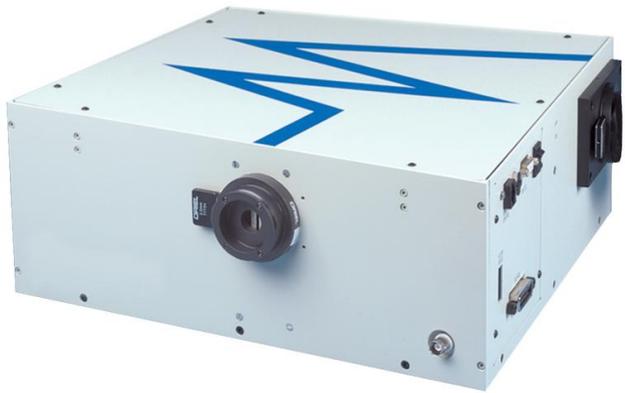
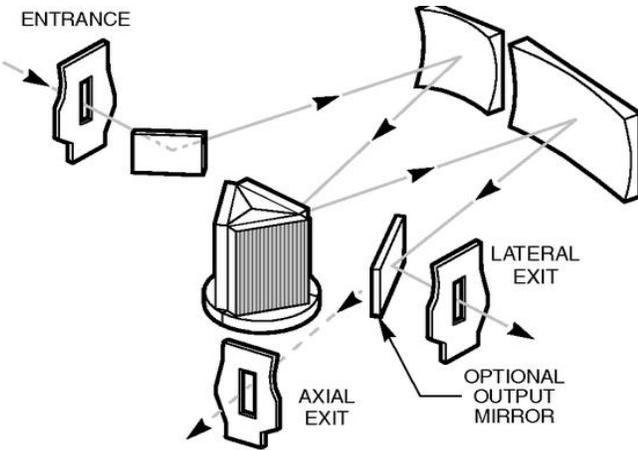
- Stepping motor scanning system with microprocessor control provides superior precision and repeatability of wavelength positioning ([Acton Research – Princeton Instr.](#))



with turret, one can interchange gratings to get better or worse resolution
 – requires larger separation entrance and exit which can create risk of astigmatism.
 --So J-Y series have corrected optics



Newport selection includes Czerny-Turner with turret, at 1/4 m focal length— compact design



Can be very long – this J-Y goes up to 1.25 m



to right is an alternate turret design, 4 gratings with vertical rotation

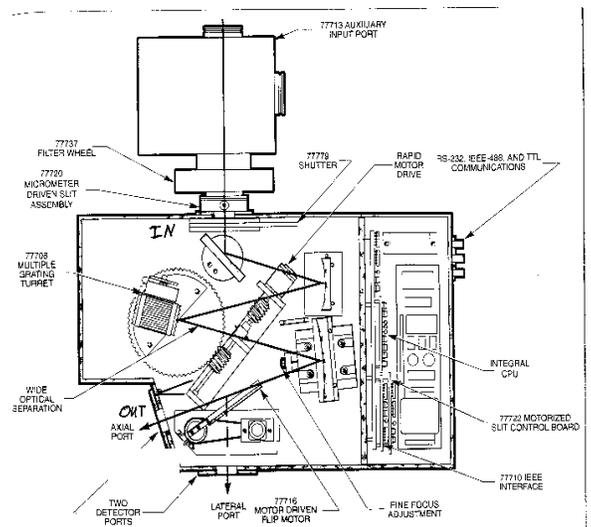
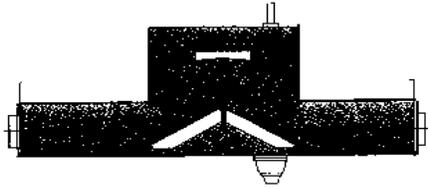


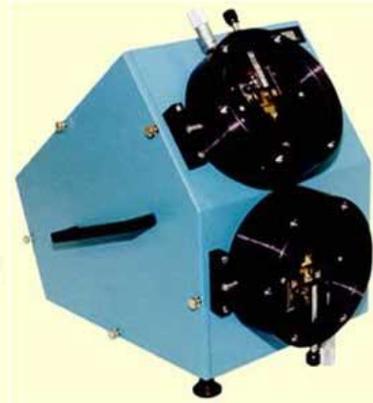
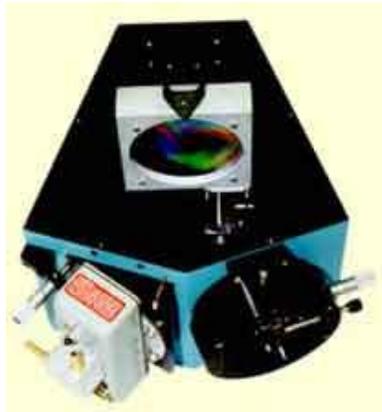
Fig. 1 MS257™ Monochromator/Spectrograph with selected optional accessories.
 FULL FEATURED SYSTEM...
 MS257™ is a generation ahead of the traditional 1/4 m monochromator. Look at what you can get with the standard and optional accessories...
 Applications:
 • UV to IR measurements
 • Absorption, Reflection and Transmission
 • Radiometry and Photometry
 • Emission and Fluorescence
 • Multichannel Spectroscopy (PDAs and CCDs)

Standard Accessories

- Concave holographic grating
- F/4.2 Aperture
- Excellent stray light rejection
- Spectral coverage from 200nm to 3200nm in four versions
- Resolution of .5nm or better
- Complete line of accessories

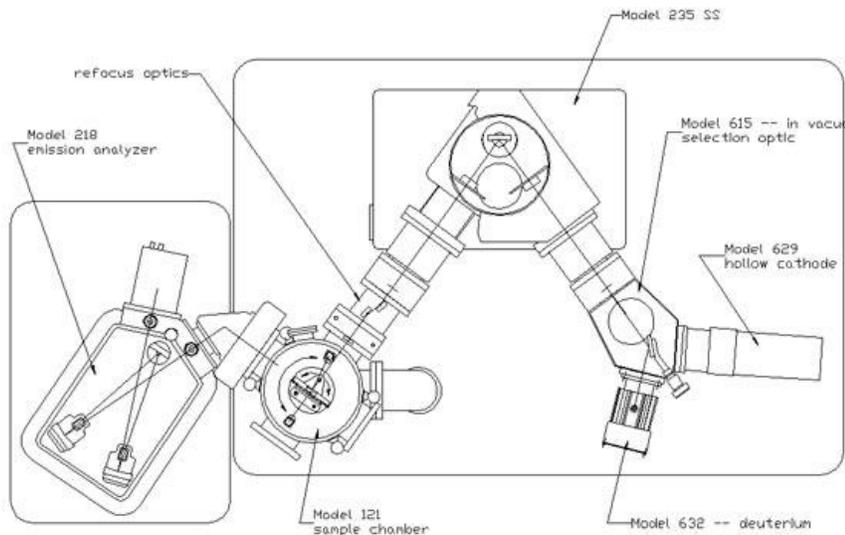


(Jobin-Yvon/Horiba)



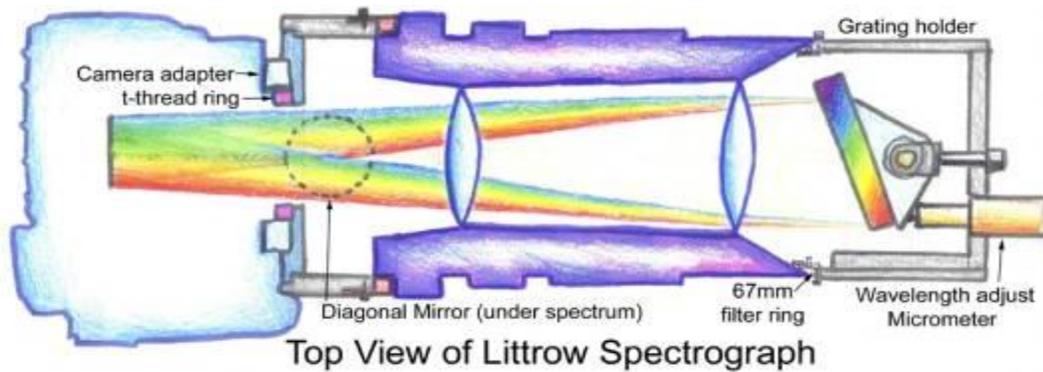
(McPherson)

Concave grating focuses, not Czerny Turner, (left) mirrors steer beam, (right) just use grating



Seya Namioka design -- Vacuum UV, minimize reflections, vacuum enclosure (McPherson)

Classic designs



As grating turns, beam comes back on itself for selected λ , so offset vertically to detect,
Light comes in from below and out over input to camera, see side-view below

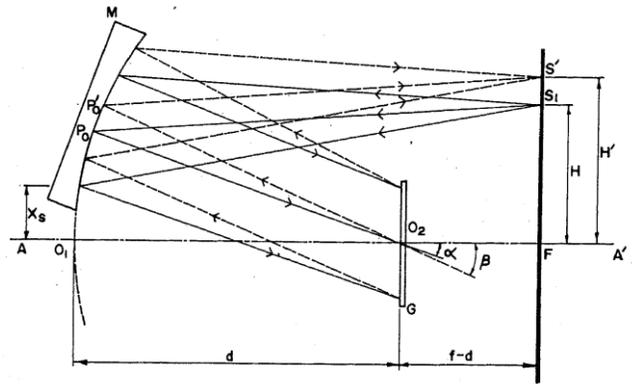
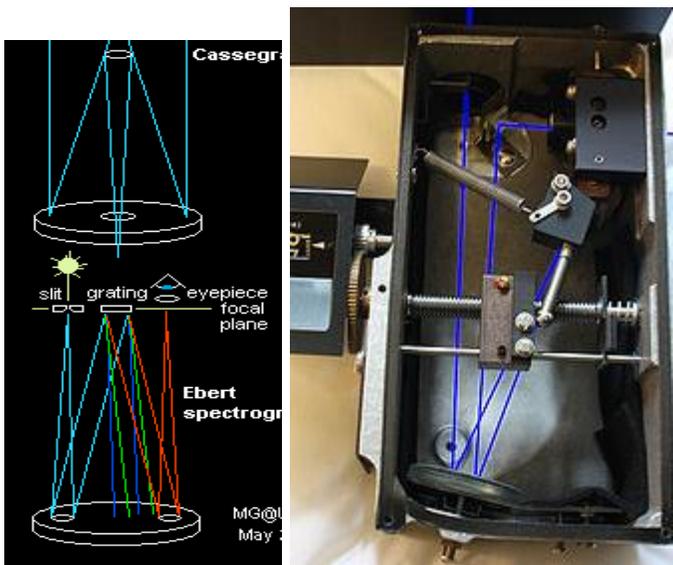
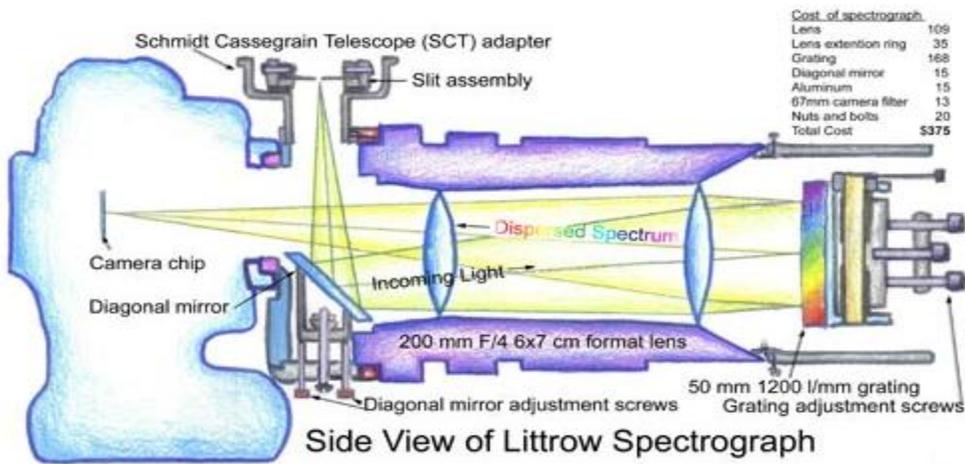
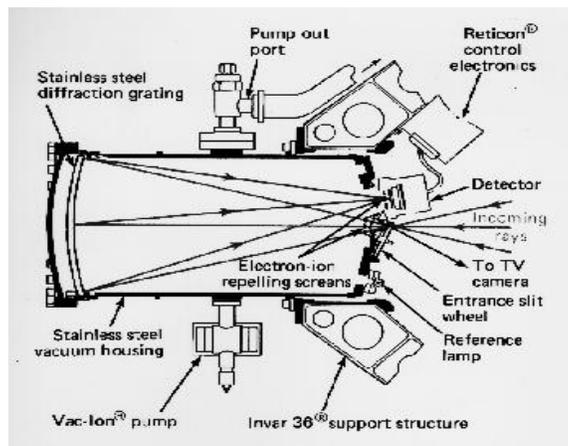
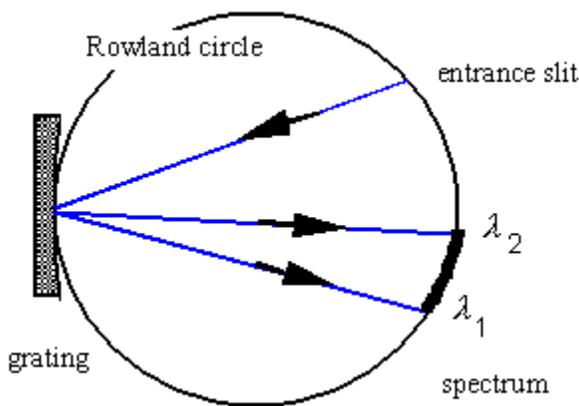


Figure 7. Schematic diagram of the practical spectrograph using the off-axis concave mirror M and the holographic grating G .

Ebert design uses one focusing mirror, can be a Littrow setup (center, right), or can be two parts of the same mirror (left, sort of like Cassegrain) for collimating and for focusing



Rowland Circle is a classic idea where entrance slit is focused at different points along a circle. So detector must move or be spatially sensitive (like film can curve). Some are super high resolution, size of a room.

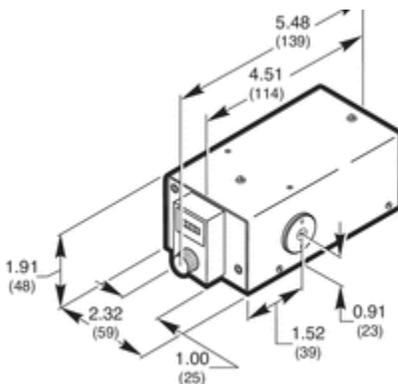


Miniaturization is
a big thing now
(top left J-Y)

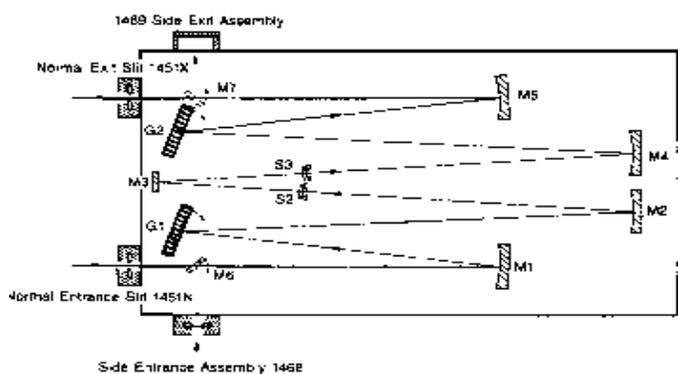
(Ocean optics and others)



Compact design 60 x 140 mm (Oriel/Newport)

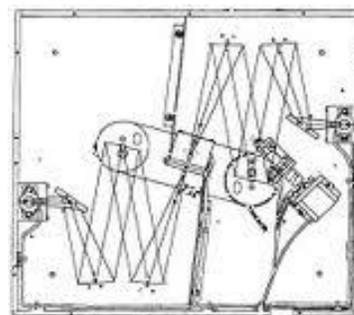


Or they can be very large, like a double 1 m from J-Y / SPEX



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Double C-T monochromator, reduce stray light, (add) increase resolution or (subtr) makes a bandpass filter



Double monochromators, increase resolution, reduce scatter light, or filter (old Raman)

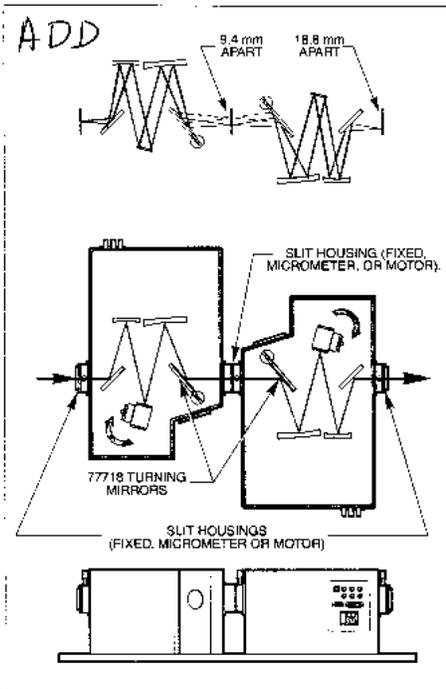


Fig. 14 Simulated ray trace and schematic diagram for Double MS257™ in additive configuration, model 77719.

ADDITIVE CONFIGURATION

The resolution limit of an additive double monochromator is slightly better than a single monochromator. The additive configuration is illustrated in Fig. 14. In this case, the output spectral band from the first monochromator is dispersed again by the second monochromator. Ignoring all aberrations, an additive double monochromator should provide twice the dispersion of each single monochromator. That is to say, a double 1/4 m monochromator should have dispersion equivalent to a 1/2 m monochromator using the same grating. In practice this is never quite possible because system aberrations, alignment, and synchronization slightly deteriorate the resolution of a double monochromator.

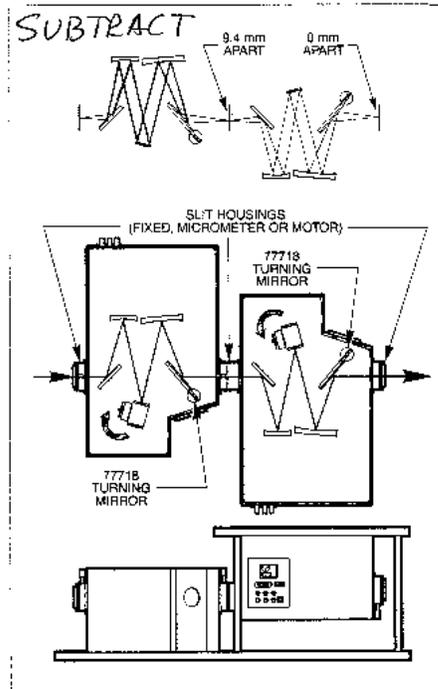


Fig. 15 Simulated ray trace and schematic diagram for Double MS257™ in subtractive configuration, model 77715

SUBSTRACTIVE CONFIGURATION

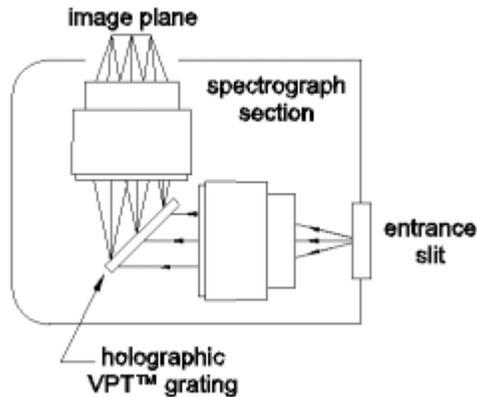
Subtractive dispersion refers to the homogenizing of the spectrum which occurs within the second monochromator. Usually, the output band of a monochromator is a narrow slice of spectrum, changing slightly in wavelength from one side of the band to the other. In a subtractive dispersion configuration the spectrum passed through the central slit by the first monochromator is mixed at the exit slit of the double system. Theoretically, double monochromator instruments in subtractive configuration have only the dispersion of the first single monochromator. Again, there is some deterioration from this theoretical limit due to system aberrations, alignment, and synchronization. The resolution limit of a subtractive double monochromator is slightly worse than a single monochromator.

Lens based focusing, imaging spectrographs:



-
- Easy wavelength adjustment for 650nm to 830nm laser excitations
- Unique f/2 lenses with proprietary coatings from **Acton Optics**, providing > 99% throughput
- Optional integrated Raman filter for effective laser line filtering
- 5 cm⁻¹ resolution accommodates most NIR Raman applications (**Princeton Instr.**)

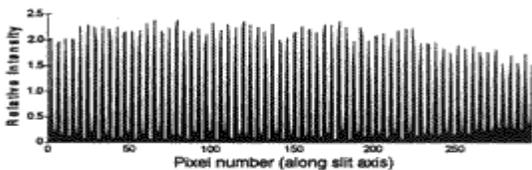
Transmission grating based design, (Kaiser Optical)



The HoloSpec™ $f/1.8$ holographic imaging spectrograph provide high throughput due to aperture ratio of $f/1.8$ - well-suited to visible or fluorescence spectroscopy, or Raman with filtering of Rayleigh scatter

The optics employed in the HoloSpec™ spectrograph achieve thorough aberration correction across a large field along both the slit axis and the wavelength axis.

HoloSpec $f/1.8i$



Typical 0.25 m Czerny-Turner Spectrograph

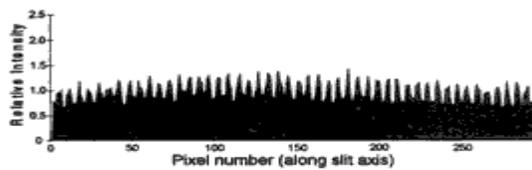


Image Data graphically illustrating the superior image quality achievable by the HoloSpec $f/1.8i$ over the entire area of a commonly used CCD camera. Graphs are image cross-sections of a 250 line/inch Ronchi ruling taken at the edge of a 26 mm x 6.7 mm CCD having 23 micron pixels, illuminated at 543.5 nm.

Homework, part of set #2 – read Chap. 3-5,6 as a minimal start. Read from the [Richardson Grating book](#), see links below, and the web sites by JY and/or wikipedia

Discussion: Chap 3--#9,25,28, 30

1. why are prism monochromators not used in general spectroscopy?
2. why do prism monochromators have an advantage in the far UV?
3. Why do you want high density (small d spacing) gratings for UV but low density ones for IR?
4. What advantages do holographic gratings have over ruled ones? why?

Problems to hand in: Chap 3: 3 (previously assumed $\phi=7^\circ$), 20-21-22

practice: (#s : 15-16-17 and 20-21-22 are very similar, hand in only the second one)

Link to grating manufacturers (not checked)

Richardson Grating Lab, formerly Bausch and Lomb, now apparently part of Newport Optical
Historically they have produced a **very useful book** on grating use and design, worth reading, download at:

<http://gratings.newport.com/information/handbook/handbook.asp>

Jobin-Yvon (French) now with Horiba (Japanese)

<http://www.jobinyvon.com/usadivisions/gratings/gratings.htm>

Check out their explanatory grating tutorial page

<http://www.jobinyvon.com/usadivisions/OOS/oos1.htm>

Gratingworks, smaller sizes

<http://www.gratingworks.com/>

Grating Calculator

<http://xraysweb.lbl.gov/SCRick/QuickCheck/Mono/mono.html>

Brief explanatory web site with lots of links to physics principles

http://en.wikipedia.org/wiki/Diffraction_grating

Links to monochromator topics

Tutorials:

Nice page on “Heath” monochromator, point being what were the design considerations used to build it

<http://www.stolaf.edu/people/walters/narrative/mono.html>

Brief explanatory page on monochromators

<http://en.wikipedia.org/wiki/Monochromator>

Companies

McPherson—higher specs, vacuum capability available

<http://www.mcphersoninc.com/>

Jobin-Yvon, Spex, Instruments ISA

<http://www.jobinyvon.com/usadivisions/OSD/currentmonos.htm>

Acton Research

<http://www.acton-research.com/catalog/list.php?s=i&c=SpectraPro>

Oriel, now Newport, has spectrometers and monochromators

<http://www.newport.com/Spectroscopy%20Instruments/1/productmain.aspx>

OLIS makes spectrometers for specific purposes, but some use the clever rapid scan DeSa monochromator

<http://www.olisweb.com/products/rsm/components.php>

Simple monochromator used in a PTI fluorimeter, links to a very nice manual

http://www.pti-nj.com/obb_5.html

Mini-monochromator, 74 mm, with Fastie-Ebert mount, from Optometrics

http://www.optometrics.com/prod/fr_mono_sys.html

Ocean Optics, mini monochromators

<http://www.oceanoptics.com/>